

## FACSIMILE COVER PAGE

To : John Lee - 2874  
Sent : 2/13/2004 at 12:00:06 PM  
Subject : 10/037,966

From : David S Alavi  
Pages : 10 (including Cover)

Examiner Lee:

Attached is a copy of a response to an Office Action originally submitted 10/01/2003.

Also attached are a copy of the Express Mail label, tracking information from the US Postal Service, and a copy of the date-stamped postcard received from OIPE. I respectfully request that the Notice of Abandonment mailed 02/05/2004 be withdrawn, and that the prosecution of the application resume as soon as practicable.

Thank you for your attention

David S Alavi  
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Mail Label EU715559705US

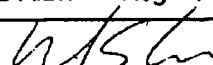
PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/037,966	
	Filing Date	12/21/2001	
	First Named Inventor	Painter	
	Art Unit	2874	
	Examiner Name	Daniel E. Valencia	
Total Number of Pages in This Submission	7	Attorney Docket Number	CQC14NP

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- postcard receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual name	David S Alavi Reg No 40310	
Signature		
Date	10/01/2003	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage <del>as first class mail</del> in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Express Mail Label EU715559705US		
Typed or printed name	David S Alavi Reg No 40310	
Signature		Date 10/01/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**\*COPY\***

Docket No. CQC14NP

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Painter et al  
Filed: 12/21/2001  
App. No.: 10/037,966  
For: Multi-layer dispersion-engineered  
waveguides and resonators

Art Unit: 2874  
Examiner: Daniel E. Valencia

**REQUEST FOR RECONSIDERATION UNDER 37 CFR §1.111**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

10/01/2003

Sir:

In response to the Office Action dated 07/09/2003, please reconsider the above-named application in light of the Remarks set forth hereinbelow. None of the specification, claims, or drawings are amended in this paper.

Remarks begin on page 2 of this paper.

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